

Developed March 2006

Federal Regulations – OMB A-21, A-110 & A-122

**NOTE: Always double check the award notice because requirements can vary among the programs.**

Federal Agency Prior Approval NOT Required - Institutional Approval Only	CONG. FUNDS	DHHS	DOD	DOE	DOED	DOI	DOJ	EPA	HUD	NEA	NEH	NIH	NSF	ONR	USDA
**One time 12 Month No-Cost Extension	X	X	X	X	X	X	X	X	X	*	X	X	X	X	X
***Direct Cost Budget Revisions Up to 10% of Total Budget Amount	X	X	X	X	X	X	X	X	X		X	X	X	X	X
Pre-Award Expenses Incurred 90 days prior to award receipt	X	X	X	X	X	X	X	X	X		X	X	X	X	X
<b>Agency Prior Approval IS Required</b>	X	X	X	X	X	X	X	X	X		X	X	X	X	X
2 <sup>nd</sup> + No-Cost Extensions	X	X	X	X	X	X	X	X	X		X	X	X	X	X
Budget Revisions > 10%	X	X	X	X	X	X	X	X	X		X	X	X	X	X
Budget revisions between indirect cost and direct cost	X	X	X	X	X	X	X	X	X		X	X	X	X	X
Budget revisions involving changes in key personnel	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Budget revision involving change in Project Scope	X	X	X	X	X	X	X	X	X		X	X	X	X	X
Budget revision involving training allowances	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Change in Project Objectives	X	X	X	X	X	X	X	X	X		X	X	X	X	X
Change in Key Personnel where budget is not altered	X	X	X	X	X	X	X	X	X		X	X	X	X	X
Need for Additional Funds	X	X	X	X	X	X	X	X	X		X	X	X	X	X
P/I Absent 3+ Months And New P/I Must Be Selected	X	X	X	X	X	X	X	X	X		X	X	X	X	X
Contracting Out Work Not Defined in Original Budget	X	X	X	X	X	X	X	X	X		X	X	X	X	X
Pre-Award Expenses That Exceed the 90 day pre-award period	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X

\* NEA requires pre-approval for pretty much everything. They do not allow pre-award costs.

\*\* Each agency has its own time frame for no cost extensions which range from 10-45 days prior to the original end date so please check their web sites for specifics.

\*\*\* Budget revisions that involve change in scope of project activities, changes in key personnel, reduction of time for the Project Director / Primary Investigator (over 25%) or absence of 3 months or longer, or training allowances MUST BE APPROVED.