

FFATA Data Collection Form

The Federal Funding Accountability and Transparency Act (FFATA) requires UTC to report on its federal grant and contract subawards via a public website operated by the federal government.

UTC: Complete this section before sending to Subcontractor.

Title of Federal Award Project: _____

Federal Sponsor: _____

Amount of Subcontract: _____

CFDA Number (if UTC prime award is a grant): _____

Description of work to be performed by subcontractor: _____

Subcontractor: Please provide the following information.

1. Name of Subcontractor Organization: _____
2. Address of Subcontractor: _____
3. Address where work will be performed, if different from above: _____

4. Subcontractor DUNS Number: _____

Note: If Subcontractor does not have a DUNS number, it must register and obtain one at <http://fedgov.dnb.com/webform> or by telephone at 1-866-705-5711. Registration is free.

5. Parent DUNS Number, if a subsidiary or controlled by a Parent organization: _____

6. Check this box to certify Subcontractor is registered in the federal Central Contractor Registration (CCR).

Note: If Subcontractor is not registered in CCR, it must register online at <http://www.ccr.gov> Registration is free.

7. Subcontractor NAICS Code (if prime award is a contract): _____

8. In the preceding fiscal year, did the Subcontractor receive 80% of its annual gross revenues from the Federal government? (Circle one): Yes or No

If Yes, continue to question 9. if No, questionnaire is complete. Thank you!

9. In the preceding fiscal year, were the subcontractor's annual gross revenues from the Federal government more than \$25 million annual? (Circle one): Yes or No

If Yes, continue to question 10. If No, questionnaire is complete. Thank you!

10. Does the public have access to the names and total compensation of the subcontractor's five most highly compensated officers through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. §§ 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?

(Circle one:) Yes or No

If no, continue to question 11. If yes, questionnaire is complete, no further information needed. Thank you!

11. List the names and compensation of the subcontractor's five most highly compensated officers:

Name	Compensation
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____