

## UNDERGRADUATE CURRICULUM PROPOSAL COVER SHEET

**Title of Proposal – Must begin with Department Abbreviation:**

FIN 3210 Investments and FIN 4210 Security Analysis and Portfolio Management - Course Name Changes

**Check One:**  Full Proposal or  Information Item

**Effective Date for Curricular Offering:** Fall 2012

**FROM:** Chris Brockman, Chair COB UG Curriculum Committee, 412K Fletcher Hall, 425-1750, Chris-Brockman@utc.edu  
(proposal originator: include spokesperson's name, department, office number, telephone, e-mail)

**Does this require new resources from the originating department or other department?** No  
Please include an explanation if yes.

**Faculty of the originating department approved this proposal on** November 1, 2011 (date),  
by a vote of 4 aye votes; 0 nay votes; 0 abstentions; 1 eligible voting members absent.

**The following have examined this proposal:**

**Dept Head/Director:** Beverly Brockman Beverly Brockman 11/9/11     
Printed name Signature, date Approve Neutral Disapprove\*

**College Curriculum Committee Date:** 11/3/2011 **Vote:** 10-0-0 **Signature of Chair:** [Signature]

**Spokespersons for Affected Departments:**

Printed Name, Department	Signature, Date	Approve	Neutral	Disapprove*
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

**Dean/Director:** [Signature]

**University Registrar:** Linda Orth [Signature] \_\_\_\_\_  
Printed name Signature, date Comments

**Provost/Representative:** J Sanders [Signature] 11/16/11     
Printed name Signature, date Approve Neutral Disapprove\*

**Lab/studio fee requested:**

**Provost:** Phil Oldham \_\_\_\_\_    
Printed name Signature, date Approve Disapprove\*

\*Those who disapprove may attach an explanation

ACTIONS on this proposal:	Curriculum Committee	Faculty Senate
Date the proposal was considered	<u>11-30-2011</u>	_____
Vote of the body:	<u>12-0-0</u>	_____
Accepted as information item (indicate date)	<u>11-30-2011</u>	_____
Approved as submitted (indicate date)	<u>11-30-2011</u>	_____
Approved with amendments (amendments indicated and transmitted to all signatories above, date):	_____	_____
Signature of Chair:	<u>[Signature]</u>	_____

12.026-06

## Proposal - Finance Course Name Changes

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### Summary of Changes:

**FIN 3210** – Name change from **Investments** to **Investments I**

**FIN 4210** – Name change from **Security Analysis and Portfolio Management** to **Investments II**

Old Title	New Title
<p><b>FIN 3210 – Investments</b></p> <p>(3) Credit Hours</p> <p>Theory of investment; classification of media; security analysis; investment market mechanisms; securities legislation; institutional aids to the investor, investment timing; formulation of investment programs. Fall and summer semesters. Prerequisites: FIN 3020 with a minimum grade of C, junior standing or department head approval.</p>	<p><b>FIN 3210 – Investments I</b></p> <p>(3) Credit Hours</p> <p>Theory of investment; classification of media; security analysis; investment market mechanisms; securities legislation; institutional aids to the investor, investment timing; formulation of investment programs. Fall and summer semesters. Prerequisites: FIN 3020 with a minimum grade of C, junior standing, or department head approval.</p>

Old Title	New Title
<p><b>FIN 4210 – Security Analysis and Portfolio Management</b></p> <p>(3) Credit Hours</p> <p>A course designed to introduce the undergraduate to advanced investment analysis and theory. Included in the course will be computer applications and analysis of investment vehicles and strategies. Fall and spring semesters. Prerequisites: ACC 3010 or ACC 3120, FIN 3210, MGT 1000 or CPSC 1000, junior standing; or department head approval.</p>	<p><b>FIN 4210 – Investments II</b></p> <p>(3) Credit Hours</p> <p>A course designed to introduce the undergraduate to advanced investment analysis and theory. Included in the course will be computer applications and analysis of investment vehicles and strategies. Fall and spring semesters. Prerequisites: ACC 3010 or ACC 3120, FIN 3210, MGT 1000 or CPSC 1000, junior standing; or department head approval.</p>

### Rationale for Changes

**FIN 4210** is an extension of **FIN 3210** where more advanced investment analysis, techniques, and theories are taught. Security analysis and portfolio management are just part of what is covered in FIN 421, thus the new titles, “Investments 1” and “Investments 2” better convey the intent of the classes as being sequence courses.